

AEQUITAS NEO EXCHANGE INC.

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October 5, 2015

H.E. Mr. Ban Ki-moon Secretary General United Nations New York, NY 10017 USA

Sustainable Stock Exchanges: Voluntary Commitment to Promote Sustainability Performance and Transparency in Capital Markets

Dear Mr. Secretary General,

On behalf of Aequitas NEO Exchange Inc. I commit our organization to the following statement:

We voluntarily commit, through dialogue with investors, companies and regulators, to promoting long-term sustainable investment and improved environmental, social and corporate governance disclosure and performance among companies listed on our exchange.

We look forward to participating in the Sustainable Stock Exchanges initiative as a global forum for sharing best practices on sustainability and capital markets.

Sincerely,

Jos Schmitt President & Chief Executive Officer

cc: Mukhisa Kituyi, Secretary-General, UNCTAD Achim Steiner, Executive Director, UNEP Lise Kingo, Executive Director, United Nations Global Compact Fiona Reynolds, Managing Director, UN-Supported Principles for Responsible Investment