



*Sustainable
Stock Exchanges
Initiative*

Results and Impact Report

Sustainable Stock Exchanges initiative

2017



Consensus Building

Broad engagement with exchanges on sustainability

- Seven new partner exchanges, reaching nearly 10,000 new listed companies
- Argentina - BYMA
- Australia – ASX
- Bangladesh – Chittagong Stock Exchange
- China – Shanghai Stock Exchange
- China – Shenzhen Stock Exchange
- Japan – Japan Stock Exchange Group
- Mongolia – Mongolian Stock Exchange



Public Engagement:

- 728,000 twitter impressions and 750 new twitter followers
- 500 new subscribers to the sustainable stock exchanges monthly highlights newsletter
- Contributed to five externally organized events as speakers

Events

Four consensus building events with over 200 participants



Green Finance Advisory Group Meeting
Geneva, Switzerland



SSE Technical Workshop
Berlin, Germany



Green Finance Dialogue COP23
Bonn, Germany



China Social Investment Forum Week
Beijing, China

SSE Advisory Groups

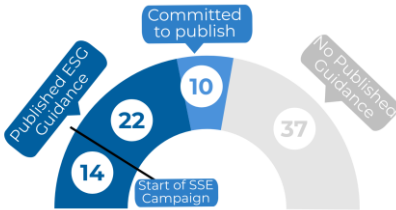
Green Finance Advisory Group formed

- 72 advisory group members, 21 of which were from developing countries and 27 of which were female
- 800 comments contributed by members of the advisory group and processed by the SSE through four drafts of the SSE green finance voluntary action plan



Capacity Building

Building capacity on providing issuers with ESG Reporting Guidance



- **Fifteen** stock exchanges published guidance for their market on ESG disclosure, guiding nearly **4,500** new companies
- **Five** new stock exchanges committed to producing guidance on ESG disclosure, which will guide an additional nearly **4,000** companies
- Updated SSE Model Guidance to include the FSB’s TCFD Recommendations
- **Four** operation-level capacity building workshops with a total of **fifty** participants

Building capacity on green finance

- **Twelve** action points guiding stock exchanges on growing green finance in their market
- **Sixteen** best practice examples adaptable to unique markets on green finance
- Discussed within **four** operation-level capacity building workshops with a total of **fifty** participants)



Building capacity on gender equality

- **Fourteen** best practice examples on how stock exchanges are promoting gender equality published, adaptable for unique markets
- Average of **57%** female participation in four operation-level capacity building workshops

Research



Guidance on Green Finance



Guidance on Gender Equality



Ninety Fact Sheets maintained

SSE impact by country

Sustainable Development Goal

Stock Exchange			5 GENDER EQUALITY	12 RESPONSIBLE CONSUMPTION	13 CLIMATE ACTION	17 PARTNERSHIPS FOR THE GOALS				
			Held Gender Equality Bell Ringing	Contributed to SSE Gender Guidance	ESG Guidance*	Participated in ESG Workshop (Berlin)	Contributed to SSE Green Finance Guide	Participated in Green Finance Event (Bonn)	SSE Partner Exchange	Participated in SSE quarterly call(s)
Developed economies										
Africa/Asia										
	Japan	Japan Exchange Group, Inc.							●	
Europe										
	Austria	Wiener Börse AG	●							
	Belgium	Euronext Brussels	●						●	
	Denmark	Nasdaq Copenhagen			●				●	
	Estonia	Nasdaq Tallinn			●				●	
	Finland	Nasdaq Helsinki			●				●	●
	France	Euronext Paris	●					●	●	
	Germany	Deutsche Börse AG	●	●	X		●		●	●
	Iceland	Nasdaq Iceland			●				●	●
	Italy	Borsa Italiana	●		●		●		●	●
	Latvia	Nasdaq Riga			●				●	
	Lithuania	Nasdaq Vilnius			●				●	
	Luxembourg	Bourse de Luxembourg				●	●	●	●	●
	Netherlands	Euronext Amsterdam	●						●	
	Norway	Oslo Børs			X		●		●	●
	Poland	Warsaw Stock Exchange							●	
	Portugal	Euronext Lisbon	●						●	
	Romania	Bucharest Stock Exchange (BVB)	●						●	
	Spain	Bolsas y Mercados Españoles			X				●	●
	Sweden	Nasdaq Stockholm			●				●	
	Switzerland	SIX Swiss Exchange	●							
	United Kingdom	Euronext London							●	
	United Kingdom	London Stock Exchange	●	●	●				●	●
North America										
	Canada	NEO Aequitas							●	
	Canada	TMX Group Inc.	●		X					
	USA	BATS	●							
	USA	Chicago Board Options Exchange	●							
	USA	IEX	●							
	USA	IFC Treasury	●							
	USA	Nasdaq	●		○				●	●
	USA	NYSE	●	●					●	●

***ESG reporting key:**

- Fulfilled commitment in 2017
- Committed in 2017
- X Already published (prior to 2017)

Sustainable Development Goal

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Oceania										
	Australia	Australian Securities Exchange (ASX)		●	X					●
	Australia	Sydney Exchange						●		●
	New Zealand	NZX Limited			●			●		●
Developing economies										
Africa										
	Benin	BVRM	●						●	
	Bissau	BVRM	●						●	
	Botswana	Botswana Stock Exchange					●		●	
	Burkina Faso	BVRM	●						●	
	Cote d'Ivoire	BVRM	●						●	
	Egypt	Egyptian Exchange	●	●	X		●	●	●	●
	Guinea	BVRM	●						●	
	Kenya	Nairobi Securities Exchange (NSE)	●	●			●		●	●
	Mali	BVRM	●						●	
	Mauritius	Stock Exchange of Mauritius							●	
	Morocco	Bourse de Casablanca	●		●				●	●
	Namibia	Namibia Stock Exchange							●	
	Niger	BVRM	●						●	
	Nigeria	Nigerian Stock Exchange	●						●	
	Rwanda	Rwanda Stock Exchange							●	●
	Senegal	BVRM	●						●	
	Seychelles	Trop-X (Seychelles) Limited							●	
	South Africa	Johannesburg Stock Exchange	●	●	X		●	●	●	●
	Tanzania	Dar es Salaam Stock Exchange			○				●	●
	Togo	BVRM	●						●	
	Tunisia	Tunis Stock Exchange							●	●
	Zimbabwe	Zimbabwe Stock Exchange							●	
Asia										
	China	Shanghai Stock Exchange			X		●	●	●	
	China	Shenzhen Stock Exchange			X				●	●
	Hong Kong, China	Hong Kong Exchanges	●	●	X					
	India	BSE India Ltd.	●	●	X	●			●	●

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Sustainable Development Goal



5 GENDER EQUALITY
Held Gender Equality Bell Ringing
Contributed to SSE Gender Guidance

12 RESPONSIBLE CONSUMPTION AND PRODUCTION
ESG Guidance *
Participated in ESG Workshop (Berlin)

13 CLIMATE ACTION
Contributed to SSE Green Finance Guide
Participated in Green Finance Event (Bonn)

17 PARTNERSHIPS FOR THE GOALS
SSE Partner Exchange
Participated in SSE quarterly call(s)

Stock Exchange			Held Gender Equality Bell Ringing	Contributed to SSE Gender Guidance	ESG Guidance *	Participated in ESG Workshop (Berlin)	Contributed to SSE Green Finance Guide	Participated in Green Finance Event (Bonn)	SSE Partner Exchange	Participated in SSE quarterly call(s)
	India	National Stock Exchange of India		●	X		●		●	●
	Jordan	Amman Stock Exchange	●	●	○				●	
	Korea, Republic of	Korea Exchange							●	
	Kuwait	Bursa Kuwait			●				●	
	Lao People's Democratic Republic	Lao Securities Exchange	●							
	Malaysia	Bursa Malaysia	●		X		●		●	●
	Mongolia	Mongolian Stock Exchange							●	
	Qatar	Qatar Exchange			X				●	
	Singapore	Singapore Exchange			X				●	●
	Sri Lanka	Colombo Stock Exchange	●		○				●	●
	Thailand	Stock Exchange of Thailand			X				●	●
	Turkey	Borsa İstanbul	●		X				●	●
	United Arab Emirates	Dubai Financial Market							●	●
	Viet Nam	Hanoi Stock Exchange			X				●	
	Viet Nam	HoChiMinh Stock Exchange			X				●	
Latin America & the Caribbean										
	Argentina	Bolsa de Comercio de Buenos Aires						●	●	
	Argentina								●	
	Brazil	B3.					●		●	●
	Chile	Bolsa de Comercio de Santiago	●		●		●		●	●
	Colombia	Bolsa de Valores de Colombia							●	●
	Jamaica	Jamaica Stock Exchange							●	
	Mexico	Bolsa Mexicana de Valores			●		●	●	●	●
	Peru	Bolsa de Valores de Lima	●		●		●		●	
Oceania										
	Fiji	South Pacific Stock Exchange	●							
Transition economies										
	Kazakhstan	Kazakhstan Stock Exchange		●	X				●	●
	Belarus	Belarusian Currency and Stock Exchange							●	

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