

Notice on Strengthening the Social Responsibility of Listed Companies and Issuing the "Guidelines for Environmental Information Disclosure of Listed Companies on the Shanghai Stock Exchange"

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Listed companies: In

order to promote the active social responsibility of each listed company , implement the concept of sustainable development and scientific development, and promote the company to pay attention to the economic interests of itself and all shareholders while paying full attention to including employees, creditors, customers, consumers and the community. The common interests of internal stakeholders and the promotion of sustainable social and economic development, the following requirements for the social responsibility of listed companies in the Exchange are now as follows.

1. Listed companies should enhance their sense of responsibility as members of society, while pursuing their own economic benefits and protecting the interests of shareholders, they should also pay attention to the company's non-

commercial contributions to stakeholders, society, environmental protection, and resource utilization. The company should consciously combine short-term interests with long-term interests, combine its own development with the comprehensive and balanced development of society, and strive to exceed its business goals.

2. The company shall, according to the industry in which it operates and its own operating characteristics, form a strategic social responsibility planning and working mechanism that is in line with the company's actual situation. The company's social responsibility strategic plan should at least include the company's business ethics code, employee protection plan and career development support plan, rational use of resources and effective environmental protection technology investment and research and development plan, social development funding plan, and implementation and management of social responsibility plan And supervision mechanism arrangements.

3. The Exchange encourages companies to promptly disclose the company's special practices in social responsibility and achievements in accordance with the relevant provisions of the Securities Law and the Measures for the Management of Information Disclosure of Listed Companies, and to disclose the company's annual report at the same time as the company 's annual report. The company's annual social responsibility report is disclosed on the website.

4. The company can disclose the social contribution value per share in the annual social responsibility report, that is, based on the basic earnings per share created by the company for shareholders, increase the company's tax revenue created for the country during the year, wages paid to employees, and banks, etc. The credit interest paid by the creditor, the company's external donation, and other value created by other stakeholders, and deducting other social costs caused by the company's environmental pollution, calculate the value-added per share created by the company for the society, thereby helping the society The public has a fuller understanding of the true value the company creates for its shareholders, employees, customers, creditors, communities and society as a whole.

V. The company may formulate the specific content of the annual social responsibility report according to its own characteristics, but the report should at least include the following aspects:

(1) The company's work in promoting social sustainable development, such as the protection of employees' health and safety, and the community where it is located Protection and support, quality control of products, etc .;

(2) the company's work in promoting environmental and ecological sustainable

development, such as how to prevent and reduce environmental pollution, how to protect water resources and energy, and how to ensure that the area is suitable for living And how to protect and improve the biodiversity of the region;

(c) the company's work in promoting sustainable economic development, such as how to create value for customers through its products and services, and how to create better job opportunities for employees And future development, and how to bring high economic returns to its shareholders.

6. If the company applies for disclosure of the annual social responsibility report, it shall submit the following documents to the Exchange:

(1) draft announcement;

(2) the resolution of the company's board of directors on the consideration and approval of the annual social responsibility report;

(3) the resolution of the company's board of supervisors on the review and approval of the annual social responsibility report;

(4) other documents deemed necessary by the Exchange.

7. For those companies that attach importance to social responsibility and can actively disclose social responsibility reports, the Exchange will give priority to their selection into the corporate governance section of the Shanghai Stock Exchange and simplify the review of their temporary announcements accordingly.

8. In accordance with the needs of market development, the Exchange shall, in a timely manner, formulate specific information disclosure guidelines for corporate social responsibility commitments.

9. In accordance with the requirements of the "Guiding Opinions on Strengthening the Environmental Protection Supervision and Management of Listed Companies" and "Environmental Information Disclosure Measures (Trial)" issued by the State Environmental Protection Administration in February 2008, the Shanghai Stock Exchange Listed Companies "Environmental Information Disclosure Guidelines", please refer to the attachment, please follow the implementation.

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Guidelines for Environmental Information Disclosure of Listed Companies on the Shanghai Stock Exchange

一、为贯彻落实《国务院关于落实科学发展观加强环境保护的决定》（国发〔2005〕39号）关于企业应当公开环境信息的要求，引导上市公司积极履行保护环境的社会责任，促进上市公司重视并改进环境保护工作，加强对上市公司环境保护工作的社会监督，根据国家环保总局发布的《环境信息公开办法（试行）》（国家环保总局令第35号）以及《关于加强上市公司环境保护监督管理工作的指导意见》规定，现就上市公司环境信息披露的要求明确如下。

二、上市公司发生以下与环境保护相关的重大事件，且可能对其股票及衍生品种交易价格产生较大影响的，上市公司应当自该事件发生之日起两日内及时披露事件情况及对公司经营以及利益相关者可能产生的影响。

（一）公司有新、改、扩建具有重大环境影响的建设项目等重大投资行为的；

（二）公司因为环境违法违规被环保部门调查，或者受到重大行政处罚或刑事处罚的，或被有关人民政府或者政府部门决定限期治理或者停产、搬迁、关闭的；

（三）公司由于环境问题涉及重大诉讼或者其主要资产被查封、扣押、冻结或者被抵押、质押的；

（四）公司被国家环保部门列入污染严重企业名单的；

（五）新公布的环境法律、法规、规章、行业政策可能对公司经营产生重大影响的；

（六）可能对上市公司证券及衍生品种交易价格产生较大影响的其他有关环境保护的重大事件。

三、上市公司可以根据自身需要，在公司年度社会责任报告中披露或单独披露如下环境信息：

（一）公司环境保护方针、年度环境保护目标及成效；

（二）公司年度资源消耗总量；

（三）公司环保投资和环境技术开发情况；

（四）公司排放污染物种类、数量、浓度和去向；

（五）公司环保设施的建设和运行情况；

（六）公司在生产过程中产生的废物的处理、处置情况，废弃产品的回收、综合利用情况；

（七）与环保部门签订的改善环境行为的自愿协议；

（八）公司受到环保部门奖励的情况；

（九）企业自愿公开的其他环境信息。

对从事火力发电、钢铁、水泥、电解铝、矿产开发等对环境影响较大行业的公司，应当披露前款第（一）至（七）项所列的环境信息，并应重点说明公司在环保投资和环境技术开发方面的工作情况。

四、被列入环保部门的污染严重企业名单的上市公司，应当在环保部门公布名单后两日内披露下列信息：

- （一）公司污染物的名称、排放方式、排放浓度和总量、超标、超总量情况；
- （二）公司环保设施的建设和运行情况；
- （三）公司环境污染事故应急预案；
- （四）公司为减少污染物排放所采取的措施及今后的工作安排。

上市公司不得以商业秘密为由，拒绝公开前款所列的环境信息。

五、上市公司申请披露前述环境信息时，应当向本所提交以下备查文件：

- （一）公告文稿；
- （二）关于具有重大环境影响的建设项目等重大投资行为的董事会决议（如涉及）；

(3) The punishment decision issued by the environmental protection department or related documents (if relevant);

(4) The certification documents of the main assets being sealed, seized, frozen or mortgaged or pledged (if relevant);

(5) Other possible certifications file.

6. In accordance with the relevant environmental protection laws and regulations, the company must fulfill its responsibilities and obligations, and meet the conditions for recognition of estimated liabilities in the "Accounting Standards for Business Enterprises", the company shall disclose the amount of relevant estimated liabilities that have been accrued in the financial report.

7. Information disclosed voluntarily in accordance with Article 3 of these Guidelines may be disclosed only on the website of the Exchange. The information that should be disclosed in accordance with other provisions of this guideline must be disclosed at the same time on the newspapers and websites designated by the CSRC.

8. If the relevant environmental information cannot be disclosed in a timely, accurate and complete manner in accordance with the requirements of the regulations, the Exchange will take necessary disciplinary measures against the company and relevant responsible personnel according to the seriousness of the circumstances.

9. These Guidelines shall be implemented as of the date of issuance.

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